



The Honorable
EDDIE BAZA CALVO
Governor

The Honorable
RAY TENORIO
Lieutenant Governor



GLENN LEON GUERRERO
Director

ANDREW S. LEON GUERRERO
Deputy Director

October 26, 2017

The Honorable Speaker Benjamin J.F. Cruz
I Mina'Trentai Kuàttro Na Liheslaturan Guåhan
155 Hesler Place
Hagåtña, Guam 96910

Subject: Fiscal Year 2017 Fourth Quarter – Federal Grants-In-Aid (FGIA) Financial Report

Dear Mr. Speaker Cruz:

Buenas yan Håfa A'dai!

Transmitted herewith is the Department of Public Works' Federal Grants-In-Aid (FGIA) Financial Report for September 30, 2017 (year-to-date) and for the Fourth Quarter of FY 2017 (months ended July through September 30, 2017).

Please note, the Budget and Accounting Information System (BACIS) for FY 2017 books have not been closed and are not finalized. As a result, balances are subject to change due to the postings of September 30, 2017 transactions.

This report can be viewed on our website at www.dpw.guam.gov.

Should you require further information or inquiries, please do not hesitate to contact Mrs. Arleen U. Pierce, Controller at 646-3154 or 646-3231.

Si Yu'us Ma'åse.


GLENN LEON GUERRERO

Attachments: FGIA Financial Report

cc: Office of the Public Auditor (OPA)
Bureau of Budget and Management Research (BBMR)
Department of Administration (DOA)
Guam State Clearinghouse (federally funded programs only)

Office of Finance and Budget
DEPARTMENTAL FUNDING ABSTRACT
(Note: This form has a continuation page)

Department/Agency:
Division/Program:
AS400 Account Number:

Department of Public Works
Office of Highway Safety
5101E**107*****

Department/Agency Head Certification
Certified as to the accuracy of information contained herein:

GLENN LEON GLICERREO, Director
Signature
10/29/17
Date

Fiscal Year 2015										Fiscal Year 2016									
Grant Title	CFDA Number	Grant Award No.	FY 2015 Federal Grant Award Received	Grant Period	Indirect Cost Applied for Amount Rec'd.	FY 2015 Expenditures/ Encumbrances //	FY 2015 Actual Federal Reimbursement	No. of FTE's Funded by Grant	Grant Award No.	FY 2016 Federal Grant Award Received	Grant Period	Indirect Cost Applied for Amount Rec'd.	FY 2016 Expenditures/ Encumbrances //	FY 2016 Actual Federal Reimbursement	No. of FTE's Funded by Grant				
Alcohol & Other Drugs (402)	20.60	AL15 (N01)	126,232.97	Annual		\$ 80,588.65	\$ 80,588.65	1	AL16 (N01)	124,979.13	Annual		94,577.23	94,577.23	2*				
		AL15 (C01)	50,789.27			\$ 45,063.15	\$ 45,063.15		AL16 (C01)	104,464.02			87,592.39	87,592.39					
Occupant Protection (402)	20.60	OP15 (N02)	137,323.51	Annual		\$ 86,938.05	\$ 86,938.05		OP16 (N02)	145,209.65	Annual		128,499.99	82,345.47	2*				
		OP15 (C02)	17,069.28			\$ 17,062.86	\$ 17,062.86		OP16 (C02)	20,536.18			16,158.84	16,158.84					
SETEP (402)	20.60	PT15 (N03)	135,475.08	Annual		\$ 79,781.37	\$ 79,781.37	1	PT16 (N03)	194,182.72	Annual		105,255.81	103,883.36	2*				
		PT15 (C03)	26,345.85			\$ 25,689.51	\$ 25,689.51		PT16 (C03)	46,389.77			39,398.91	39,398.91					
TRIMS (402)	20.60	TR15 (N04)	54,126.89	Annual		\$ 34,859.34	\$ 34,859.34		TR16 (N04)	47,127.68	Annual		40,132.56	40,132.56	1				
		TR15 (C04)	6,258.22			\$ 6,258.22	\$ 6,258.22		TR16 (C04)	14,478.00			12,817.07	12,817.07					
Planning & Administration (402)	20.60	PA15 (N05)	81,254.80	Annual		\$ 39,051.95	\$ 38,951.95	1	PA16 (N05)	87,951.35	Annual		50,563.28	48,778.13	2*				
		PA15 (C05)	30,542.24			\$ 24,137.41	\$ 23,864.31		PA16 (C05)	48,980.78			44,231.71	43,195.62					
Paid Media (402)	20.60	PM15 (N06)	12,434.99	Annual		\$ 2,500.00	\$ 2,500.00		PM16 (N06)	48,980.78	Annual		4,000.00	4,000.00					
		PM15 (C06)				\$ -	\$ -		PM16 (C06)	9,934.99			5,740.00	5,740.00					
Pedestrian Safety (402)	20.60	PS15 (N07)	78,188.75			\$ 56,512.96	\$ 56,512.96	1	PS16 (N07)	62,958.33	Annual		61,944.83	61,161.22	2*				
		PS15 (C07)	9,656.08			\$ 6,149.87	\$ 6,149.87		PS16 (C07)	16,333.67			12,754.74	13,657.86					
		EM16 (N48)							EM16 (C48)	9,075.00	Annual		5,117.15	3,603.55					
Paid Media Section (405)	20.60	K2PM15 (N51)		Annual					K2PM16 (N51)		Annual		-	-					
OP Incentive Grant (405)	20.60	K2PM15 (C51)		Annual					K2PM16 (C51)				-	-					
Data Program (408)	20.60	K215 (C50)	2,905.86	Annual		\$ -	\$ -		K216 (C50)	2,905.86	Annual		2,905.86	2,905.86					
		K915 (C52)	66,777.05	Annual		\$ 66,777.05	\$ 66,777.05		K916 (C52)		Annual		-	-					
OP Low - Training (405b)	20.616	M2TR15 (N21)		Annual					M2TR16 (N21)		Annual								
		M2TR15 (C21)							M2TR16 (C21)										
OP Low - Public Education (405b)	20.616	M2PE15 (N22)		Annual		\$ 62,929.69	\$ 62,929.69		M2PE16 (N22)	11,316.72	Annual		11,250.32	11,155.12					
		M2PE15 (C22)	73,947.62						M2PE16 (C22)										
OP Low - CSS (405b)	20.616	M2CSS15 (N23)		Annual		\$ 379.81	\$ 379.81		M2CSS16 (N23)	5,539.55	Annual		5,531.61	5,531.61					
		M2CSS15 (C23)	5,919.36						M2CSS16 (C23)										
OP Low - HVE (405b)	20.616	M2HVE15 (N20)		Annual					M2HVE16 (N20)	249.96	Annual		249.87	249.87					
		M2HVE15 (C20)	249.96						M2HVE16 (C20)										
OP High - Training (405b)	20.616	M1TR15 (N25)	22,798.67			\$ 18,207.29	\$ 18,207.29		M1TR16 (N25)	30,395.92	Annual		8,278.53	8,278.53					
		M1TR15 (C25)							M1TR16 (C25)	4,591.38			3,689.28	3,689.28					
OP High - Public Education (405b)	20.616	M1PE15 (N27)	63,629.91			\$ 18,436.80	\$ 18,436.80	1	M1PE16 (N27)	69,874.00	Annual		43,882.64	43,882.64	1				
		M1PE15 (C27)	6,782.44			\$ 5,789.33	\$ 5,789.33		M1PE16 (C27)	45,193.11			32,096.05	31,971.05					
OP High - CSS (405b)	20.616	M1CSS15 (N26)							M1CSS16 (N26)	5,803.68	Annual		5,784.59	5,784.59					
		M1CSS15 (C26)							M1CSS16 (C26)	993.11			979.51	979.51					
OP High - HVE (405b)	20.616	M1HVE15 (N24)	38,186.34						M1HVE16 (N24)	10,000.00	Annual		9,450.00	9,450.00					
		M1HVE15 (C24)							M1HVE16 (C24)	23,186.34			22,749.00	22,749.00					
OP Highway - HVE/HPD (405b)	20.616	M1HVE15 (N2A)	11,649.80			\$ 11,649.80	\$ 11,649.80		M1HVE16 (N2A)		Annual		11,833.14	10,320.27					
		M1HVE15 (C2A)							M1HVE16 (C2A)	15,000.00									
OP Highway HVE/APD (405b)	20.616	M1HVE15 (N2B)	4,913.90			\$ 4,913.90	\$ 4,913.90		M1HVE16 (N2B)		Annual								
		M1HVE15 (C2B)							M1HVE16 (C2B)										
Data Program (405c)	20.616	MADA15 (N30)	101,388.17	Annual		\$ 39,999.52	\$ 39,999.52		MADA16 (N30)	101,610.96	Annual		85,141.70	85,141.70					
		MADA15 (C30)	114,558.65			\$ 114,558.65	\$ 114,558.65		MADA16 (C30)	61,388.65			60,913.58	60,913.58	1				
DD - Public Education (405e)	20.616	M8PE15 (N40)		Annual		\$ -	\$ -		M8PE16 (N40)		Annual								
		M8PE15 (C40)	33,376.88			\$ 11,500.00	\$ 1,500.00		M8PE16 (C40)	14,499.00			14,499.00	14,499.00					
DD - Traffic Signs (405e)	20.616	M8TS15 (N41)		Annual					M8TS16 (N41)		Annual								
		M8TS15 (C41)							M8TS16 (C41)										
DD - Police Traffic (405e)	20.616	M8PT15 (N4A)		Annual		\$ 5,910.99	\$ 5,910.99		M8PT16 (N4A)		Annual								
		M8PT15 (C4A)	7,777.98						M8PT16 (C4A)										
DD - Police Traffic HPD (405e)	20.616	M8DLE15 (N4B)							M8DLE16 (N4B)		Annual								
		M8DLE15 (C4B)	7,222.02			\$ 5,298.41	\$ 5,298.41		M8DLE16 (C4B)										
DD - Traffic Records (405e)	20.616	M8TR15 (N43)		Annual					M8TR16 (N43)		Annual								
		M8TR15 (C43)							M8TR16 (C43)										
DD - Distracted Driving (405e)	20.616		-	Annual															
DD - Distracted Driving (405e)	20.616	M8X15 (N45)	35,914.80			\$ 26,828.48	\$ 26,828.48		M8X16 (N45)	30,454.80	Annual		20,737.96	20,737.96					
DD - Distracted Driving (405e)		M8X15 (C45)				\$ 897,253.06	\$ 886,879.96	6	M8X16 (C45)	1,371,051.32			1,048,757.15	996,291.78	6				
			\$ 1,353,697.34		\$ -	\$ -	\$ -					\$ -	\$ -	\$ -					


1/ If a grant is a continuing grant from previous fiscal years, indicate only in this column, the expenditures/encumbrances which occurred in FY 2015 (10/01/14 to 09/30/15).
2/ If a grant is a continuing grant from previous fiscal years, indicate only in this column, the expenditures/encumbrances which occurred in FY 2016 (10/01/15 to 09/30/16).
3/ If a grant is a continuing grant from previous fiscal years, indicate only in this column, the expenditures/encumbrances which occurred in FY 2017 (10/01/16 to 09/30/17).
*NOTE: Total FTE's on some of the programs reflect shared costs for Salary and Fringe by Program Managers. Total FTE's for OHS is six (6).

Office of Finance and Budget
DEPARTMENTAL FEDERAL FUNDING ABSTRACT
(Continued from page 1)

Division/Program: Office of Highway Safety
AS400 Account Number: 5101E110*****

As of September 30, 2017													
Fiscal Year 2017													
FY 2018 Federal Award Request													
Grant Title	CFDA Number	Grant Award No.	FY 2017 Federal Grant Award Received	Grant Period	Indirect Cost Applied for/Amount Rec'd.	FY2017 Expenditures/Encumbrances \$	FY 2017 Actual Federal Reimbursement	No. of FTE's Funded	FY 2018 Federal Award Request	Grant Award No.	Grant Period	Indirect Cost Applied for/Amount Rec'd.	No. of FTE's to be Funded by Grant
Alcohol & Other Drugs (402)	20.60	AL17 (N01)	121,537.17	Annual		107,083.67	59,369.90		\$ 210,788.00				1
		AL17 (C01)	71,528.70			63,942.80	57,435.17	2*					
Occupant Protection (402)	20.60	OP17 (N02)	121,374.02	Annual		96,157.11	80,439.57		\$ 115,492.00				1
		OP17 (C02)	25,111.43			23,539.75	22,664.75	2*					
STEP (402)	20.60	PT17 (N03)	235,827.58	Annual		119,351.03	69,045.21		\$ 93,618.00				1
		PT17 (C03)	53,434.52			49,724.23	43,960.07	2*					
TRIMS (402)	20.60	TR17 (N04)	34,811.37	Annual		30,613.75	25,780.00		\$ 45,000.00				1
		TR17 (C04)	11,566.18			11,277.05	11,277.05	1					
Planning & Administration (402)	20.60	PA17 (N05)	87,476.80	Annual		41,321.81	36,604.49		\$ 75,500.00				1
		PA17 (C05)	42,854.12			35,827.12	33,546.95	2*					
Paid Media (402)	20.60	PM17 (N06)	9,740.00	Annual		5,026.00	865.00		\$ 20,000.00				
		PM17 (C06)	5,260.00			56,180.70	48,442.95		\$ 65,000.00				1
Pedestrian Safety (402)	20.60	PS17 (N07)	62,131.49	Annual		18,074.90	18,074.90	2*					
		PS17 (C07)	20,223.80						\$ -				
EMS (402)	20.60	EM17 (N08)		Annual									
		EM17 (C08)											
Paid Media Section (405)	20.60	K2PM17 (N51)		Annual									
		K2PM17 (C51)											
OP Incentive Grant (405)	20.60	K217 (C50)		Annual									
Data Program (408)	20.60	K917 (C52)		Annual									
OP Low - Training (405b)	20.616	M2TR17 (N21)		Annual									
		M2TR17 (C21)											
OP Low - Public Education (405b)	20.616	M2PE17 (N22)	76.32	Annual									
		M2PE17 (C22)											
OP Low - CSS (405b)	20.616	M2CSS17 (N23)		Annual									
		M2CSS17 (C23)											
OP - HVE (405b)	20.616	M2HVE17 (N20)		Annual									
		M2HVE17 (C20)											
OP High - Training (405b)	20.616	M1TR17 (N25)	26,278.94	Annual		8,329.79							
		M1TR17 (C25)	23,019.49			21,348.34	12,948.34						
OP High - Public Education (405b)	20.616	M1PE17 (N27)	47,916.27	Annual		47,357.55	39,679.87	1					1
		M1PE17 (C27)	38,474.42			30,537.55	19,887.56						
OP High - CSS (405b)	20.616	M1CSS17 (N26)	7,916.96	Annual									
		M1CSS17 (C26)	32.69										
OP High - HVE (405b)	20.616	M1HVE17 (N24)		Annual									
		M1HVE17 (C24)	987.34			987.34							
OP Highway - HVE/HPD (405b)	20.616	M1HVE17 (N2A)	11,833.14	Annual		4,705.35	4,705.35						
		M1HVE17 (C2A)	3,166.86			2,547.15	2,547.15						
OP Highway HVE/APD (405b)	20.616	M1HVE17 (N2B)		Annual									
		M1HVE17 (C2B)											
Data Program (405c)	20.616	M3DA17 (N30)	102,909.20	Annual		92,257.93	83,664.64	1					
		M3DA17 (C30)	16,944.33			16,591.64	16,591.64						
DD - Public Education (405e)	20.616	M6PE17 (N40)		Annual									
		M6PE17 (C40)											
DD - Traffic Signs (405e)	20.616	M6TS17 (N41)		Annual									
		M6TS17 (C41)											
DD - Police Traffic HPD (405e)	20.616	M6PT17 (N4A)		Annual									
		M6PT17 (C4A)											
DD - Police Traffic HPD (405e)	20.616	M6DDLE17 (N4B)		Annual									
		M6DDLE17 (C4B)											
DD - Traffic Records (405e)	20.616	M6TR17 (N43)		Annual									
		M6TR17 (C43)											
DD - Distracted Driving (405e)	20.616	M6DX17 (N45)	9,716.64	Annual		9,512.84	8,557.84						
		M6DX17 (C45)	34,362.95			1,509.00							
		FESX17 (N46)	\$ 1,226,512.93	Annual	\$ -	\$ 894,331.71	\$ 696,264.72	6	\$ 825,398.00	\$ -	\$ -	\$ -	6

1/ If a grant is a continuing grant from previous fiscal years, indicate only in this column, the expenditures/cumbrances which occurred in FY 2015 (10/01/14 to 09/30/15).
2/ If a grant is a continuing grant from previous fiscal years, indicate only in this column, the expenditures/cumbrances which occurred in FY 2016 (10/01/15 to 09/30/16).
3/ If a grant is a continuing grant from previous fiscal years, indicate only in this column, the expenditures/cumbrances which occurred in FY 2017 (10/01/16 to 09/30/17).
*NOTE: Total FTEs on some of the programs reflect shared costs for Salary and Fringe by Program Managers. Total FTEs for OHS is six (6).

Department/Agency Head Certification
 as to the accuracy of information contained herein:

 GLENN LEON GUERRERO
 Director

DEPARTMENT OF PUBLIC WORKS
 FEDERAL HIGHWAY ADMINISTRATION (FHWA)
 FY 2017 4th Quarter Report - As of September 30, 2017

Source: BACIS System

Project Name	Appropriation	YTD Expenditures	O/S Encumbrances	Available Balance	Description
AS400 Grand Total	135,937,930	19,070,543	26,675,591	90,191,795	
Compact Impact-School Leaseback Project	(6,665,250)	(6,665,250)	0	0	CIP (5101F1710001B111)
DPW-FHWA Projects	129,272,680	12,405,293	26,675,591	90,191,795	

Department/Agency:	Department of Public Works
Division/Program:	Bus Operations
AS400 Account Number:	5101H121030B110
Original Grant Award:	1,280,000

Department/Agency Head Certification

Certified as to the accuracy of information contained herein.

[Signature]

GLENN LEON GUERRERO, Director

[Signature]

Date 10/26/17

[illegible]

1./ If a grant is a continuing grant from previous fiscal years, indicate only in this column, the expenditures/encombrances which occurred in FY 2015 (10/01/14 to 09/30/15),

2./ If a grant is a continuing grant from previous fiscal years, indicate only in this column, the expenditures/encombrances which occurred in FY 2016 (10/01/15 to 09/30/16),

3./ If a grant is a continuing grant from previous fiscal years, indicate only in this column, the expenditures/encombrances which occurred in FY 2017 (10/01/16 to 09/30/17).

If a grant is a continuing grant from previous fiscal years, indicate only in this column, the expenditures/encombrances which occurred in FY 2017 (10/01/16 to 09/30/17).

Office of Finance and Budget
DEPARTMENTAL FEDERAL FUNDING ABSTRACT
(Continued from page 1)

Division/Program: Bus Operations
 AS400 Account Number: 5101H121030IB110

[illegible]

1/ If a grant is a continuing grant from previous fiscal years, indicate only in this column, the expenditures/encumbrances which occurred in FY 2015 (10/01/14 to 09/30/15).
2/ If a grant is a continuing grant from previous fiscal years, indicate only in this column, the expenditures/encumbrances which occurred in FY 2016 (10/01/15 to 09/30/16).
3/ If a grant is a continuing grant from previous fiscal years, indicate only in this column, the expenditures/encumbrances which occurred in FY 2017 (10/01/16 to 09/30/17).

Department/Agency Head Certification
Certified as to the accuracy of information contained herein

**Department of Public Works
Bus Operations**

AS400 Account Number: 5101H131000IB110

\$350,000

Certified as to the accuracy of information contained herein.

GLENN LEON GUERRERO, Director

Signature _____

Date _____

[illegible]

1/ If a grant is a continuing grant from previous fiscal years, indicate only in this column, the expenditures/encumbrances which occurred in FY 2015 (10/01/14 to 09/30/15).

2/ If a grant is a continuing grant from previous fiscal years, indicate only in this column, the expenditures/encumbrances which occurred in FY 2016 (10/01/15 to 09/30/16).

3/ If a grant is a continuing grant from previous fiscal years, indicate only in this column, the expenditures/encumbrances which occurred in FY 2017 (10/01/16 to 09/30/17).

Office of Finance and Budget
DEPARTMENTAL FEDERAL FUNDING ABSTRACT
(Continued from page 1)

Division/Program: Bus Operations
AS400 Account Number: 5101H131000IB110

[illegible]

1/ If a grant is a continuing grant from previous fiscal years, indicate only in this column, the expenditures/encumbrances which occurred in FY 2015 (10/01/14 to 09/30/15)
2/ If a grant is a continuing grant from previous fiscal years, indicate only in this column, the expenditures/encumbrances which occurred in FY 2016 (10/01/15 to 09/30/16)
3/ If a grant is a continuing grant from previous fiscal years, indicate only in this column, the expenditures/encumbrances which occurred in FY 2017 (10/01/16 to 09/30/17)

Department/Agency Head Certification
Certified as to the accuracy of information contained herein:

Department/Agency Head Certification

Certified as to the accuracy of information certified herein:

Glenn Leon Guerrero

GLENN LEON GUERRERO, Director

10/24/17

Signature

1/ If a grant is a continuing grant from previous fiscal years, indicate only in this column, the expenditures/encumbrances which occurred in FY 2015 (10/01/14 to 09/30/15).

2/ If a grant is a continuing grant from previous fiscal years, indicate only in this column, the expenditures/encumbrances which occurred in FY 2016 (10/01/15 to 09/30/16).

3/ If a grant is a continuing grant from previous fiscal years, indicate only in this column, the expenditures/encumbrances which occurred in FY 2017 (10/01/16 to 09/30/17).

(Continued from page 1)


AS400 Account Number:

[illegible]

Department/Agency Head Certification
Certified as to the accuracy of information contained herein:

Department/Agency Head Certification

Certified as to the accuracy of information contained herein:


GLENN LEON GUERRERO, Director

Signature _____
Date 10/13/12

- 1/ If a grant is a continuing grant from previous fiscal years, indicate only in this column, the expenditures/encumbrances which occurred in FY 2015 (100/1/14 to 09/30/15).
- 2/ If a grant is a continuing grant from previous fiscal years, indicate only in this column, the expenditures/encumbrances which occurred in FY 2016 (100/1/15 to 09/30/16).
- 3/ If a grant is a continuing grant from previous fiscal years, indicate only in this column, the expenditures/encumbrances which occurred in FY 2017 (100/1/16 to 09/30/17).

Department/Agency Head Certification
Certified as to the accuracy of information contained herein:

Department/Agency Head Certification

Certified as to the accuracy of information contained herein:

And *[Signature]*

[Signature] Mr. GLENN LEON GUERRERO, Director

[Signature] *[Signature]*

Signature _____

Date *10/24/17* _____

1/ If a grant is a continuing grant from previous fiscal years, indicate only in this column, the expenditures/encumbrances which occurred in FY 2015 (100/01/14 to 09/30/15).

1/ If a grant is a continuing grant from previous fiscal years, indicate only in this column, the expenditures/encumbrances which occurred in FY 2015 (100/01/14 to 09/30/15).